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7	23	kdel adj receptor	USPAT; US-PGPUB; EPO; JPO; DERWENT	2003/05/15 12:07
13	6	(kdel adj receptor) near3 (inhibitor or antagonist or blocker or bind)	USPAT; US-PGPUB; EPO; JPO; DERWENT	2003/05/15 12:08

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    82 FILES SEARCHED...
L1      860 (KDEL (W) RECEPTOR)
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=> s l1 (3A) (inhibitor or antagonist or blocker or bind)
    18 FILES SEARCHED...
    35 FILES SEARCHED...
    57 FILES SEARCHED...
    87 FILES SEARCHED...
L2      72 L1 (3A) (INHIBITOR OR ANTAGONIST OR BLOCKER OR BIND)
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=> s l2 and (polynucleotide or gene or clone or dna or (nucleic acid) or nucleotide)
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=> d l5 1-9 bib ab

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AN 2001:185685 BIOSIS

DN PREV200100185685

TI Human KDEL receptor.

AU Bandman, Olg; Hillman, Jennifer L.; Goli, Surya K.

ASSIGNEE: Incyte Pharmaceuticals, Inc.

PI US 6103874 August 15, 2000/

SO Official Gazette of the United States Patent and Trademark Office Patents,  
(Aug. 15, 2000) Vol. 1237, No. 3, pp. No Pagination. e-file.

ISSN: 0098-1133.

DT Patent

LA English

AB The present invention provides a novel human KDEL **receptor** (NHKR) and **polynucleotides** which identify and encode NHKR. The invention also provides genetically engineered expression vectors and host cells comprising the **nucleic acid** sequences encoding NHKR and a method for producing NHKR. The invention also provides for agonists, antibodies, or antagonists specifically binding NHKR, and their use, in the prevention and treatment of diseases associated with expression of NHKR. Additionally, the invention provides for the use of antisense molecules to **polynucleotides** encoding NHKR for the treatment of diseases associated with the expression of NHKR. The invention also provides diagnostic assays which utilize the **polynucleotide**, or fragments or the complement thereof, and antibodies specifically binding NHKR.

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AN 2002-12171 BIOTECHABS

TI **Gene**-delivery compound for targeted **gene** delivery, comprises single-chain binding polypeptide having effector segment with cysteinyl residue and **nucleic acid**

-binding/lipid-associating moiety coupled to polypeptide by residue;  
single chain antibody-mediated **gene** transfer and expression  
in host cell for **gene** therapy

AU HUSTON J S; WILS P; QUAN Z; LAURENT O; MARASCO W A; SCHERMAN D

PA HUSTON J S; WILS P; QUAN Z; LAURENT O; MARASCO W A; SCHERMAN D

PI WO 2002000914 3 Jan 2002

AI WO 2000-US20182 23 Jun 2000

PRAI US 2000-213653 23 Jun 2000

DT Patent

LA English

OS WPI: 2002-268789 [31]

AB DERWENT ABSTRACT:

NOVELTY - A **gene**-delivery compound (I) comprising a single-chain binding polypeptide (SCBP) having at least one effector segment having a cysteinyl residue and a **nucleic acid** -binding moiety (NABM) or a lipid-associating moiety (LAM) coupled to

SCBP by the residue, is new.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a composition (II) comprising (I) and a **nucleic acid** associated reversibly with NABM, or a liposome in association with LAM.

BIOTECHNOLOGY - Preferred Compound: In (I), the binding region of SCBP is effective in binding two or more surface markers of a mammalian cell, and comprises a single-chain Fv protein, where the marker is a tumor antigen from erbB-2, erbB-3, erbB-4, p53, p21 ras, transferrin receptor, Lewis Y antigen, carcinoembryonic antigen, epidermal growth factor, MUC1, and any other tumor-associated or tumor-specific antigen. NABM is preferably from salmon protamine, subfragments of salmon protamine, human histone H1, subfragments of human histone H1, human protamine, subfragments of human protamine, HMG, polylysine or any other **DNA** binding polypeptide; and LAM is from linear, branched, cyclic, and polycyclic compounds capable of insertion into and retention of lipid-containing compositions, where LAM contains polyethylene glycol (PEG) and preferably is maleimide-PEG-(C18)2, in which the PEG portion has about 10-100 oxyethyl units. (I) further comprises an additional effector segment that binds reversibly with **nucleic acids**, or that facilitates endosomal escape or avoidance, non-endosomal transport in a cell, or entry into the nucleus of a targeted cell, where the effector segment is a human histone H1 peptide sequence which comprises the carboxyl-terminal sequence that **binds** to the **KDEL receptor** in the Golgi, SEKDEL, or comprises SV40 large T antigen nuclear localization sequence, TPPKKRKV. (I) further comprises a spacer sequence which is located between the effector segment containing the cysteinyl residue and an additional effector segment, where the spacer sequence comprises one or two segments of SSSSG or GGGGS. In (I), the cysteinyl residue is coupled to NABM by a heterobifunctional crosslinking agent which is preferably from succinimidyl trans-4(maleimidylmethyl)-cyclohexane-1-carboxylate (SMCC) and sulfoSMCC. Preferred Composition: In (II), the **nucleic acid** comprises **DNA** encoding a therapeutic **gene** which is a lymphokine, a tumor necrosis factor, or an intrabody; or is from tumor suppressor **genes**, p53, proapoptotic **genes**, suicide **genes**, prodrug converting **genes**, HSV-TK and anti-angiogenic **genes**. In (II) comprising a liposome, SCBP is located on a surface of the liposome which is a stealth liposome.

ACTIVITY - None given in the source material.

MECHANISM OF ACTION - **Gene** therapy. No supporting data is given.

USE - (I) is useful for targeted **gene** delivery for treating diseases by **gene** therapy.

ADMINISTRATION - (I) is administered preferably through intravascular and subcutaneous injection, topical application and oral ingestion. No specific dosage detail is given.

ADVANTAGE - (I) is utilized to provide targeted non-viral delivery of **gene** to target cells, and (I) having the ability to bind to multiple, different surface markers on a target cell, can be utilized for multi-site targeting.

EXAMPLE - The single-chain binding polypeptides based on two anti-c-erbB-2 single-chain sFv was utilized, where the analog of C6.5 sFv Schier et al., Immunotechnology, Vol. 1, 73-81 (1995), preferably C6ML3-9 sFv Schier et al., J. Mol. Biol., Vol. 263, 551-567 (1996), was prepared by modifying the complementarity determining regions (CDRs) of C6.5. A heterobifunctional linker, sulfo-succinimidyl trans-4(maleimidylmethyl)-cyclohexane-1-carboxylate (sulfo-SMCC) was used to couple salmon protamine via its alpha amino terminal group to the C-terminal sulfhydryl of C6ML3-9 sFv, and finally the desired **DNA** e.g. therapeutic **gene** was added. **Gene** delivery experiments were carried out with the anti-erbB-2 sFv'-(salmon protamine)-**DNA** complex (C6.5 sFv'-SP-**DNA** or C6ML3-9 sFv'-SP-**DNA**). The

results showed that the conjugates were able to transfect c-erbB-2 positive cells. (96 pages)

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TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion or  
proteins which are normally retained within the cell;  
herpes simplex virus-based vector e.g. plasmid pHSV1, retro virus  
vector and Moloney retro virus vector-mediated expression in  
transgenic animal for infectious disease and cancer therapy  
AU Rothman J E; Mayhew M; Hoe M H **AP**  
PA Sloan-Kettering-Inst.Cancer-Res.  
LO New York, NY, USA.  
PI WO 2000006729 10 Feb 2000/  
AI WO 1999-US17147 28 Jul 1999  
PRAI US 1998-124671 29 Jul 1998  
DT Patent  
LA English  
OS WPI: 2000-195296 [17]  
AB An oligomeric **KDEL receptor inhibitor**  
protein which promotes secretion of proteins normally retained within the  
cell is new. The inhibitor protein contains several subunits where each  
subunit contains an oligomerization domain and has at its carboxy  
terminus a region which **binds** to a **KDEL**  
**receptor**. Also claimed are: a **nucleic acid**  
encoding the **KDEL receptor-inhibitor**; a  
non-human transgenic animal carrying a transgenic **KDEL**  
**receptor inhibitor** protein linked to a promoter  
sequence; increasing the secretion of a protein by a cell; promoting the  
release of heat shock protein/antigenic peptide complex from a cell; and  
inducing or increasing an immune response to a target antigen. Vectors  
include herpes simplex virus based vectors e.g. plasmid pHSV1, retro  
virus vectors e.g. MFG and in particular Moloney retro virus vectors such  
as LN, LNSX, LNCX and LXSX. The KDEL receptors can be used to promote  
secretion of proteins such as heat shock proteins thereby making them  
more accessible to the immune system and improving the immune response.  
The methods may be used for treating infectious disease or cancer.  
Secretion of genetically engineered proteins may also be achieved.  
(87pp)

L5 ANSWER 4 OF 9 CAPLUS COPYRIGHT 2003 ACS  
AN 2000:98760 CAPLUS  
DN 132:133894  
TI Inhibition of KDEL receptor-mediated return of heat shock protein  
complexes to the endoplasmic reticulum and their adjuvant use  
IN Rothman, James E.; Mayhew, Mark; Hoe, Mee H. **AP**  
PA Sloan-Kettering Institute for Cancer Research, USA  
SO PCT Int. Appl., 87 pp.  
CODEN: PIXXD2  
DT Patent  
LA English  
FAN.CNT 1

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2000006729	A1	20000210	WO 1999-US17147	19990728
W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				

RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

US 6160088	A	20001212	US 1998-124671	19980729
CA 2337692	AA	20000210	CA 1999-2337692	19990728
AU 9953245	A1	20000221	AU 1999-53245	19990728
EP 1100906	A1	20010523	EP 1999-938851	19990728

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO

PRAI US 1998-124671 A 19980729  
WO 1999-US17147 W 19990728

AB **Inhibitors** of the **KDEL receptor** that can be used to block the transfer of heat shock proteins to the endoplasmic reticulum and allow them to act as adjuvants are described. Certain proteins are functionally retained in the cellular endoplasmic reticulum via an interaction between a KDEL sequence and its receptor. According to the invention, blocking this interaction with a **KDEL receptor inhibitor** promotes the secretion of such proteins. In specific embodiments of the invention, **KDEL receptor inhibitors** may be used to promote the secretion of heat shock proteins, thereby rendering the secreted heat shock proteins more accessible to the immune system and improving the immune response to heat shock protein-assocd. antigens. The inhibitors are artificial peptides that oligomerize and present large no. of KDEL peptides to the receptors and sat. them. An example of one of these peptides uses the signal peptide of the BiP protein, an oligomerization domain of a cartilage oligomeric matrix protein, a linker peptide from a camel Ig and a KDEL peptide is described.

RE.CNT 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD  
ALL CITATIONS AVAILABLE IN THE RE FORMAT

L5 ANSWER 5 OF 9 CAPLUS COPYRIGHT 2003 ACS  
AN 1998:359390 CAPLUS  
DN 129:147072  
TI Hsp47 **binds** to the **KDEL receptor** and cell surface expression is modulated by cytoplasmic and endosomal pH  
AU Sauk, John J.; Norris, Kathleen; Hebert, Carla; Ordonez, Jose; Reynolds, Mark  
CS Department of Pathology, Dental School and UMAB Greenbaum Cancer Center, University of Maryland at Baltimore, Baltimore, MD, 21201, USA  
SO Connective Tissue Research (1998), 37(1-2), 105-119  
CODEN: CVTRBC; ISSN: 0300-8207  
PB Gordon & Breach Science Publishers  
DT Journal  
LA English  
AB Hsp47 is a novel glycoprotein that binds specifically to procollagen and is retained in the ER by its COOH-terminus RDEL peptide sequence (Sato, M. et al. Jol. Cell Biol. 1996; 133: 469-83). In this paper, we report that erd2P, the KDEL receptor, is distributed, coppts. with, and binds to Hsp47. Also, under stress conditions and lowering of pH<sub>i</sub>, the cytoplasmic epitope of erd2P is not recognized by erd2P antibodies unless the cells are pretreated with NEM. Coincident with the masking of the cytoplasmic epitope of erd2P, following lowering of pH<sub>i</sub>, Hsp47 is not retained but eludes its retention receptor to be expressed on the cell surface. Alkalization of the endosomal compartments by treatment with NH<sub>4</sub>Cl or chloroquine also results in the loss of Hsp47 to the cell surface, presumably by inhibiting the retrieval of trans-Golgi network proteins from the cell surface. The expression of Hsp47 on the cell surface under conditions of stress and alteration of pH<sub>i</sub> and pHe posture Hsp47 as a serpin family protein that may modulate cell migration during development and invasion and metastasis in cancer.

RE.CNT 49 THERE ARE 49 CITED REFERENCES AVAILABLE FOR THIS RECORD

## ALL CITATIONS AVAILABLE IN THE RE FORMAT

L5 ANSWER 6 OF 9 CAPLUS COPYRIGHT 2003 ACS  
 AN 1996:428695 CAPLUS  
 DN 125:79781  
 TI Purification and Characterization of the Human KDEL Receptor  
 AU Scheel, Andreas A.; Pelham, Hugh R. B.  
 CS MRC Laboratory of Molecular Biology, Cambridge, CB2 2QH, UK  
 SO Biochemistry (1996), 35(31), 10203-10209  
 CODEN: BICHAW; ISSN: 0006-2960  
 PB American Chemical Society  
 DT Journal  
 LA English  
 AB Retention of sol. endoplasmic reticulum (ER) proteins is ensured by their continuous retrieval from subsequent compartments in the secretory pathway. Sol. ER proteins which escape to the Golgi app. **bind** to the **KDEL receptor**, a seven-transmembrane receptor, and are then returned to the endoplasmic reticulum. We have overexpressed the human KDEL receptor in insect cells using the baculovirus system. Infected cells accumulate large amts. of functional receptor as judged by a ligand binding assay. A hexahistidine-tagged version of the receptor could be purified in a single step to near-homogeneity with high yield. After reconstitution of purified KDEL receptor into liposomes, a similar affinity and pH dependence for the binding of KDEL peptides was obsd. compared to the receptor in its natural environment, indicating that purified KDEL receptor is sufficient for specific and pH-sensitive binding of KDEL ligands. Detn. of the receptor affinity in different lipid environments revealed that the receptor affinity is only slightly influenced by its lipid environment, suggesting that regulation of the receptor affinity by its surrounding lipids does not play a crucial role for the sorting of KDEL proteins.

*Not inhibitor*

L5 ANSWER 7 OF 9 IFIPAT COPYRIGHT 2003 IFI  
 AN 10189286 IFIPAT;IFIUDB;IFICDB  
 TI BIOENGINEERED VEHICLES FOR TARGETED **NUCLEIC ACID** DELIVERY  
 INF Huston; James S., Chestnut Hill, MA, US  
 Laurent; Oliver, Berkley, CA, US  
 Marasco; Wayne A., Oakland, CA, US  
 Scherman; Daniel, Paris, FR  
 Wils; Pierre, Paris, FR  
 Zhu; Quan, Needham, MA, US  
 IN Huston James S; Laurent Oliver; Marasco Wayne A; Scherman Daniel (FR); Wils Pierre (FR); Zhu Quan  
 PAF Unassigned  
 PA Unassigned Or Assigned To Individual (68000)  
 AG Patrick J. Kelly Synnestvedt & Lechner LLP, 2600 Aramark Tower, 1101 Market Street Philadelphia, PA, 19107, US  
 PI US 2002132990 A1 20020919  
 AI US 2001-888721 20010625  
 PRAI US 2000-213653P 20000623 (Provisional)  
 FI US 2002132990 20020919  
 DT Utility; Patent Application - First Publication  
 FS CHEMICAL APPLICATION  
 CLMN 52  
 GI 26 Figure(s).  
 FIG. 1 is a diagrammatic representation of a single-chain binding polypeptide of the present invention. Part (a) is the extended polypeptide format, and Part (b) is the folded protein format;  
 FIG. 2 is a diagrammatic representation of a single-chain binding polypeptide of the present invention illustrating the location of the

complementarity determining regions, the polypeptide spacer regions, and the effector regions;

FIG. 3 is the amino acid sequence for C6.5 sFv;  
FIG. 4 is the **nucleotide** sequence for C6.5 sFv;  
FIG. 5 is the amino acid sequence for C6ML3-9 sFv';  
FIG. 6 is the **nucleotide** sequence for C6ML3-9 sFv';  
FIG. 7 is the amino acid sequence for C6ML3-9 sFv'-L1-KDEL;  
FIG. 8 is the **nucleotide** sequence for C6ML3-9 sFv'-L1-KDEL;  
FIG. 9 is the amino acid sequence for C6ML3-9 sFv'-L2-KDEL;  
FIG. 10 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-KDEL;  
FIG. 11 is the amino acid sequence for C6ML3-9 sFv'-L2-H14;  
FIG. 12 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-H14;  
FIG. 13 is the amino acid sequence for C6ML3-9 sFv'-L2-nls; nls is the SV40 large T antigen nuclear localization signal.  
FIG. 14 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-nls;  
FIG. 15 shows that C6ML3-9 sFv' and its conjugate to salmon protamine (SP) bind specifically to erbB-2 positive ovarian cancer cells;  
FIG. 16 shows a FACS analysis of the erbB-2 binding activities of bacterially expressed C6ML3-9 sFv' and its derivatives;  
FIG. 17 is a gel shift analysis of C6.5 sFv'-SP-DNA and C6ML3-9 sFv'-SP-DNA complexes;  
FIG. 18 shows a kinetic study of C6.5 sFv'-SP-DNA and C6ML3-9-SPDNA complex formation;  
FIG. 19 shows that a C6ML3-9 sFv-SP conjugate protein mediates specific luciferase **gene** delivery to erbB-2 positive cancer cells;  
FIG. 20 illustrates chloroquine-dependence of C6ML3-9 sFv'-SPmediated **gene** delivery;  
FIG. 21 illustrates fluorescent microscopy of C6.5 sFv'-SP and C6ML3-9 sFv'-SP-mediated **gene** transfer of pGeneGrip Rhodamine/ GFP plasmids with SK-OV-3 and MCF-7;  
FIG. 22 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-salmon protamine;  
FIG. 23 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-P1;  
FIG. 24 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-H1;  
FIG. 25 illustrates the effect of C6ML3-9 sFv'-H1-pBks on 3T3HER2 transfection mediated by C6ML3-9 sFv'-H1; and  
FIG. 26 illustrates the effect of the **DNA** to C6ML3-9 sFv'-H1 ratio on 3T3-HER2 transfection efficiency.

AB There is disclosed a **gene**-delivery compound comprising: (A) a single-chain binding polypeptide having at least one effector segment which includes at least one cysteinyl residue; and (B) a **nucleic acid**-binding moiety which is coupled to the polypeptide via the cysteinyl residue. There is disclosed also a **gene**-delivery compound comprising: (A) a single-chain, binding polypeptide having at least one effector segment which includes at least one cysteinyl residue; (B) a lipidassociating moiety which is coupled to the polypeptide via the cysteinyl residue. Additionally disclosed are compositions comprising the above-mentioned compounds and a **nucleic acid**.

L5 ANSWER 8 OF 9 USPATFULL  
AN 2000:168135 USPATFULL  
TI **KDEL receptor inhibitors**  
IN Rothman, James E., New York, NY, United States  
Mayhew, Mark, Tarrytown, NY, United States  
Hoe, Mee H., Irvington, NY, United States  
PA Sloan-Kettering Institute For Cancer, New York, NY, United States (U.S. corporation)  
PI US 6160088 20001212  
AI US 1998-124671 19980729 (9)  
DT Utility

AP  
Parent

FS        Granted  
EXNAM    Primary Examiner: Achutamurthy, Ponnathapu; Assistant Examiner: Tung, Peter P.  
CLMN    Number of Claims: 13  
ECL    Exemplary Claim: 1  
DRWN    10 Drawing Figure(s); 30 Drawing Page(s)  
LN.CNT 1537

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB        The present invention relates to **inhibitors** of the **KDEL receptor** and therapeutic uses therefor. Certain proteins are functionally retained in the cellular endoplasmic reticulum via an interaction between a KDEL sequence and its receptor. According to the invention, blocking this interaction with a **KDEL receptor inhibitor** promotes the secretion of such proteins. In specific embodiments of the invention, **KDEL receptor inhibitors** may be used to promote the secretion of heat shock proteins, thereby rendering the secreted heat shock proteins more accessible to the immune system and improving the immune response to heat shock protein-associated antigens.

L5    ANSWER 9 OF 9 WPINDEX (C) 2003 THOMSON DERWENT

AN    2002-268789 [31] WPINDEX

DNC    C2002-079652

TI    **Gene**-delivery compound for targeted **gene** delivery, comprises single-chain binding polypeptide having effector segment with cysteinyl residue and **nucleic acid**-binding/lipid-associating moiety coupled to polypeptide by residue.

DC    A96 B04 D16

IN    HUSTON, J S; LAURENT, O; MARASCO, W A; SCHERMAN, D; WILS, P; ZHU, Q; QUAN, Z

PA    (HUST-I) HUSTON J S; (LAUR-I) LAURENT O; (MARA-I) MARASCO W A; (SCHE-I) SCHERMAN D; (WILS-I) WILS P; (ZHUQ-I) ZHU Q; (QUAN-I) QUAN Z

CYC    96

PI    WO 2002000914 A2 20020103 (200231)\* EN    96p

RW: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ  
NL OA PT SD SE SL SZ TR TZ UG ZW

W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK  
DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR  
KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU  
SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

AU 2001070142 A 20020108 (200235)

US 2002132990 A1 20020919 (200264)

ADT    WO 2002000914 A2 WO 2001-US20182 20010625; AU 2001070142 A AU 2001-70142 20010625; US 2002132990 A1 Provisional US 2000-213653P 20000623, US 2001-888721 20010625

FDT    AU 2001070142 A Based on WO 200200914

PRAI    US 2000-213653P 20000623; US 2001-888721 20010625

AB    WO 200200914 A UPAB: 20021031

NOVELTY - A **gene**-delivery compound (I) comprising a single-chain binding polypeptide (SCBP) having at least one effector segment having a cysteinyl residue and a **nucleic acid**-binding moiety (NABM) or a lipid-associating moiety (LAM) coupled to SCBP by the residue, is new.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a composition (II) comprising (I) and a **nucleic acid** associated reversibly with NABM, or a liposome in association with LAM.

ACTIVITY - None given in the source material.

MECHANISM OF ACTION - **Gene** therapy. No supporting data is given.

USE - (I) is useful for targeted **gene** delivery for treating diseases by **gene** therapy.

ADVANTAGE - (I) is utilized to provide targeted non-viral delivery of

**gene** to target cells, and (I) having the ability to bind to multiple, different surface markers on a target cell, can be utilized for multi-site targeting.

Dwg.0/26



=>

<-----User Break----->

=> d 14 1-46 bib.

L4 ANSWER 1 OF 46 IFIPAT COPYRIGHT 2003 IFI DUPLICATE 1  
AN 10189286 IFIPAT;IFIUDB;IFICDB  
TI BIOENGINEERED VEHICLES FOR TARGETED **NUCLEIC ACID**  
DELIVERY  
INF Huston; James S., Chestnut Hill, MA, US  
Laurent; Oliver, Berkley, CA, US  
Marasco; Wayne A., Oakland, CA, US  
Scherman; Daniel, Paris, FR  
Wils; Pierre, Paris, FR  
Zhu; Quan, Needham, MA, US  
IN Huston James S; Laurent Oliver; Marasco Wayne A; Scherman Daniel (FR);  
Wils Pierre (FR); Zhu Quan  
PAF Unassigned  
PA Unassigned Or Assigned To Individual (68000)  
AG Patrick J. Kelly Synnestvedt & Lechner LLP, 2600 Aramark Tower, 1101  
Market Street Philadelphia, PA, 19107, US  
PI US 2002132990 A1 20020919  
AI US 2001-888721 20010625  
PRAI US 2000-213653P 20000623 (Provisional)  
FI US 2002132990 20020919  
DT Utility; Patent Application - First Publication  
FS **CHEMICAL**  
**APPLICATION**  
CLMN 52  
GI 26 Figure(s).  
FIG. 1 is a diagrammatic representation of a single-chain binding polypeptide of the present invention. Part (a) is the extended polypeptide format, and Part (b) is the folded protein format;  
FIG. 2 is a diagrammatic representation of a single-chain binding polypeptide of the present invention illustrating the location of the complementarity determining regions, the polypeptide spacer regions, and the effector regions;  
FIG. 3 is the amino acid sequence for C6.5 sFv;  
FIG. 4 is the **nucleotide** sequence for C6.5 sFv;  
FIG. 5 is the amino acid sequence for C6ML3-9 sFv';  
FIG. 6 is the **nucleotide** sequence for C6ML3-9 sFv';  
FIG. 7 is the amino acid sequence for C6ML3-9 sFv'-L1-KDEL;  
FIG. 8 is the **nucleotide** sequence for C6ML3-9 sFv'-L1-KDEL;  
FIG. 9 is the amino acid sequence for C6ML3-9 sFv'-L2-KDEL;  
FIG. 10 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-KDEL;  
FIG. 11 is the amino acid sequence for C6ML3-9 sFv'-L2-H14;  
FIG. 12 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-H14;  
FIG. 13 is the amino acid sequence for C6ML3-9 sFv'-L2-nls; nls is the SV40 large T antigen nuclear localization signal.  
FIG. 14 is the **nucleotide** sequence for C6ML3-9 sFv'-L2-nls;  
FIG. 15 shows that C6ML3-9 sFv' and its conjugate to salmon protamine (SP) bind specifically to erbB-2 positive ovarian cancer cells;  
FIG. 16 shows a FACS analysis of the erbB-2 binding activities of bacterially expressed C6ML3-9 sFv' and its derivatives;  
FIG. 17 is a gel shift analysis of C6.5 sFv'-SP-**DNA** and C6ML3-9 sFv'-SP-**DNA** complexes;  
FIG. 18 shows a kinetic study of C6.5 sFv'-SP-**DNA** and C6ML3-9-SPDNA complex formation;  
FIG. 19 shows that a C6ML3-9 sFv-SP conjugate protein mediates specific luciferase **gene** delivery to erbB-2 positive cancer cells;

FIG. 20 illustrates chloroquine-dependence of C6ML3-9 sFv'-SP-mediated **gene** delivery;  
 FIG. 21 illustrates fluorescent microscopy of C6.5 sFv'-SP and C6ML3-9 sFv'-SP-mediated **gene** transfer of pGeneGrip Rhodamine/ GFP plasmids with SK-OV-3 and MCF-7;  
 FIG. 22 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-salmon protamine;  
 FIG. 23 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-P1;  
 FIG. 24 illustrates the effect of chloroquine on 3T3-HER2 transfection mediated by C6ML3-9 sFv'-H1;  
 FIG. 25 illustrates the effect of C6ML3-9 sFv'-H1-pBks on 3T3HER2 transfection mediated by C6ML3-9 sFv'-H1; and  
 FIG. 26 illustrates the effect of the **DNA** to C6ML3-9 sFv'-H1 ratio on 3T3-HER2 transfection efficiency.

L4 ANSWER 2 OF 46 BIOTECHABS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
 AN 2002-12171 BIOTECHABS  
 TI **Gene**-delivery compound for targeted **gene** delivery, comprises single-chain binding polypeptide having effector segment with cysteinyl residue and **nucleic acid** -binding/lipid-associating moiety coupled to polypeptide by residue; single chain antibody-mediated **gene** transfer and expression in host cell for **gene** therapy  
 AU HUSTON J S; WILS P; QUAN Z; LAURENT O; MARASCO W A; SCHERMAN D  
 PA HUSTON J S; WILS P; QUAN Z; LAURENT O; MARASCO W A; SCHERMAN D  
 PI WO 2002000914 3 Jan 2002  
 AI WO 2000-0520182 23 Jun 2000  
 PRAI US 2000-213653 23 Jun 2000  
 DT Patent  
 LA English  
 OS WPI: 2002-268789 [31]

L4 ANSWER 3 OF 46 WPINDEX (C) 2003 THOMSON DERWENT  
 AN 2002-268789 [31] WPINDEX  
 DNC C2002-079652  
 TI **Gene**-delivery compound for targeted **gene** delivery, comprises single-chain binding polypeptide having effector segment with cysteinyl residue and **nucleic acid**-binding/lipid-associating moiety coupled to polypeptide by residue.  
 DC A96 B04 D16  
 IN HUSTON, J S; LAURENT, O; MARASCO, W A; SCHERMAN, D; WILS, P; ZHU, Q; QUAN, Z  
 PA (HUST-I) HUSTON J S; (LAUR-I) LAURENT O; (MARA-I) MARASCO W A; (SCHE-I) SCHERMAN D; (WILS-I) WILS P; (ZHUQ-I) ZHU Q; (QUAN-I) QUAN Z  
 CYC 96  
 PI WO 2002000914 A2 20020103 (200231)\* EN 96p  
 RW: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ  
 NL OA PT SD SE SL SZ TR TZ UG ZW  
 W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK  
 DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR  
 KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU  
 SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
 AU 2001070142 A 20020108 (200235)  
 US 2002132990 A1 20020919 (200264)  
 ADT WO 2002000914 A2 WO 2001-US20182 20010625; AU 2001070142 A AU 2001-70142 20010625; US 2002132990 A1 Provisional US 2000-213653P 20000623, US 2001-888721 20010625  
 FDT AU 2001070142 A Based on WO 200200914  
 PRAI US 2000-213653P 20000623; US 2001-888721 20010625

L4 ANSWER 4 OF 46 USPATFULL

AN 2001:33025 USPATFULL  
 TI Composition of immunotoxins and retinoids and use thereof  
 IN Wu, YouNeng, Bethesda, MD, United States  
 Youle, Richard J., Garrett Park, MD, United States  
 PA The United States of America as represented by the Department of Health  
 and Human Services, Washington, DC, United States (U.S. corporation)  
 PI US 6197528 B1 20010306  
 AI US 1999-249423 19990212 (9)  
 RLI Division of Ser. No. US 1994-238997, filed on 6 May 1994, now patented,  
 Pat. No. US 5942230  
 DT Utility  
 FS Granted  
 EXNAM Primary Examiner: Huff, Sheela  
 LREP Morgan & Finnegan, L.L.P.  
 CLMN Number of Claims: 8  
 ECL Exemplary Claim: 1  
 DRWN 26 Drawing Figure(s); 11 Drawing Page(s)  
 LN.CNT 1271  
 CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 5 OF 46 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.DUPLICATE  
 2  
 AN 2001:185685 BIOSIS  
 DN PREV200100185685  
 TI Human KDEL receptor.  
 AU Bandman, Olg; Hillman, Jennifer L.; Goli, Surya K.  
 ASSIGNEE: Incyte Pharmaceuticals, Inc.  
 PI US 6103874 August 15, 2000.  
 SO Official Gazette of the United States Patent and Trademark Office Patents,  
 (Aug. 15, 2000) Vol. 1237, No. 3, pp. No Pagination. e-file.  
 ISSN: 0098-1133.  
 DT Patent  
 LA English

L4 ANSWER 6 OF 46 CAPLUS COPYRIGHT 2003 ACS DUPLICATE 3  
 AN 2000:98760 CAPLUS  
 DN 132:133894  
 TI Inhibition of KDEL receptor-mediated return of heat shock protein  
 complexes to the endoplasmic reticulum and their adjuvant use  
 IN Rothman, James E.; Mayhew, Mark; Hoe, Mee H.  
 PA Sloan-Kettering Institute for Cancer Research, USA  
 SO PCT Int. Appl., 87 pp.  
 CODEN: PIXXD2  
 DT Patent  
 LA English  
 FAN.CNT 1

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI WO 2000006729	A1	20000210	WO 1999-US17147	19990728
W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				
RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG				
US 6160088	A	20001212	US 1998-124671	19980729
CA 2337692	AA	20000210	CA 1999-2337692	19990728
AU 9953245	A1	20000221	AU 1999-53245	19990728
EP 1100906	A1	20010523	EP 1999-938851	19990728

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,  
IE, SI, LT, LV, FI, RO  
PRAI US 1998-124671 A 19980729  
WO 1999-US17147 W 19990728  
RE.CNT 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD  
ALL CITATIONS AVAILABLE IN THE RE FORMAT

L4 ANSWER 7 OF 46 BIOTECHABS COPYRIGHT 2003 THOMSON DERWENT AND ISI  
AN 2000-06139 BIOTECHABS  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion or  
proteins which are normally retained within the cell;  
herpes simplex virus-based vector e.g. plasmid pHSV1, retro virus  
vector and Moloney retro virus vector-mediated expression in  
transgenic animal for infectious disease and cancer therapy  
AU Rothman J E; Mayhew M; Hoe M H  
PA Sloan-Kettering-Inst.Cancer-Res.  
LO New York, NY, USA.  
PI WO 2000006729 10 Feb 2000  
AI WO 1999-US17147 28 Jul 1999  
PRAI US 1998-124671 29 Jul 1998  
DT Patent  
LA English  
OS WPI: 2000-195296 [17]

L4 ANSWER 8 OF 46 USPATFULL  
AN 2000:168135 USPATFULL  
TI **KDEL receptor inhibitors**  
IN Rothman, James E., New York, NY, United States  
Mayhew, Mark, Tarrytown, NY, United States  
Hoe, Mee H., Irvington, NY, United States  
PA Sloan-Kettering Institute For Cancer, New York, NY, United States (U.S.  
corporation)  
PI US 6160088 20001212  
AI US 1998-124671 19980729 (9)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Achutamurthy, Ponnathapu; Assistant Examiner: Tung,  
Peter P.  
CLMN Number of Claims: 13  
ECL Exemplary Claim: 1  
DRWN 10 Drawing Figure(s); 30 Drawing Page(s)  
LN.CNT 1537  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 9 OF 46 USPATEFULL  
AN 1999:99378 USPATEFULL  
TI Composition of immunotoxins and retinoids and use thereof  
IN Wu, YouNeng, Bethesda, MD, United States  
Youle, Richard J., Garrett Park, MD, United States  
PA The United States of America as represented by the Department of Health  
and Human Services, Washington, DC, United States (U.S. government)  
PI US 5942230 19990824  
AI US 1994-238997 19940506 (8)  
DT Utility  
FS Granted  
EXNAM Primary Examiner: Huff, Sheela; Assistant Examiner: Eyler, Yvonne  
LREP Morgan & Finnegan, L.L.P.  
CLMN Number of Claims: 15  
ECL Exemplary Claim: 1  
DRWN 23 Drawing Figure(s); 11 Drawing Page(s)  
LN.CNT 1312

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 10 OF 46 CAPLUS COPYRIGHT 2003 ACS  
AN 1998:359390 CAPLUS  
DN 129:147072  
TI Hsp47 **binds** to the **KDEL receptor** and cell  
surface expression is modulated by cytoplasmic and endosomal pH  
AU Sauk, John J.; Norris, Kathleen; Hebert, Carla; Ordonez, Jose; Reynolds,  
Mark  
CS Department of Pathology, Dental School and UMAB Greenbaum Cancer Center,  
University of Maryland at Baltimore, Baltimore, MD, 21201, USA  
SO Connective Tissue Research (1998), 37(1-2), 105-119  
CODEN: CVTRBC; ISSN: 0300-8207  
PB Gordon & Breach Science Publishers  
DT Journal  
LA English  
RE.CNT 49 THERE ARE 49 CITED REFERENCES AVAILABLE FOR THIS RECORD  
ALL CITATIONS AVAILABLE IN THE RE FORMAT

L4 ANSWER 11 OF 46 CAPLUS COPYRIGHT 2003 ACS  
AN 1996:428695 CAPLUS  
DN 125:79781  
TI Purification and Characterization of the Human KDEL Receptor  
AU Scheel, Andreas A.; Pelham, Hugh R. B.  
CS MRC Laboratory of Molecular Biology, Cambridge, CB2 2QH, UK  
SO Biochemistry (1996), 35(31), 10203-10209  
CODEN: BICHAW; ISSN: 0006-2960  
PB American Chemical Society  
DT Journal  
LA English

L4 ANSWER 12 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44970 Protein DGENE  
TI **Inhibitors** of the **KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES. **AD**  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
DESC RGD-4C targeting sequence for **KDEL receptor**  
**inhibitor** protein.

L4 ANSWER 13 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44969 Protein DGENE  
TI **Inhibitors** of the **KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES. **A**  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
DESC Peptide-2 binding to erd 2 receptor.

L4 ANSWER 14 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44968 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p A  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
DESC Peptide-1 binding to erd 2 receptor.

L4 ANSWER 15 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44967 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES. A  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50501  
DESC **KDEL receptor inhibitor** protein-10.

L4 ANSWER 16 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44966 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES. A  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50500  
DESC **KDEL receptor inhibitor** protein-9.

L4 ANSWER 17 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44965 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES. A  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50499  
DESC **KDEL receptor inhibitor** protein-8.

L4 ANSWER 18 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44964 Protein DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR N-PSDB: AAZ50498  
 DESC **KDEL receptor inhibitor protein-7.**

L4 ANSWER 19 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44963 Protein DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR N-PSDB: AAZ50497  
 DESC **KDEL receptor inhibitor protein-6.**

L4 ANSWER 20 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44962 Protein DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR N-PSDB: AAZ50496  
 DESC **KDEL receptor inhibitor protein-5.**

L4 ANSWER 21 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44961 Protein DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]

CR N-PSDB: AAZ50495  
DESC **KDEL receptor inhibitor protein-4.**

L4 ANSWER 22 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44960 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50494  
DESC **KDEL receptor inhibitor protein-3.**

L4 ANSWER 23 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44959 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50493  
DESC **KDEL receptor inhibitor protein-2.**

L4 ANSWER 24 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44958 Protein DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR N-PSDB: AAZ50492  
DESC **KDEL receptor inhibitor protein-1.**

L4 ANSWER 25 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAY44957 peptide DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent



LA English  
 OS 2000-195296 [17]  
 DESC Human papilloma virus antigenic peptide-5.

L4 ANSWER 26 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44956 peptide DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Human papilloma virus antigenic peptide-4.

L4 ANSWER 27 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44955 peptide DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Human papilloma virus antigenic peptide-3.

L4 ANSWER 28 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44954 peptide DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Human papilloma virus antigenic peptide-2.

L4 ANSWER 29 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44953 peptide DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]

DESC Human papilloma virus antigenic peptide-1.

L4 ANSWER 30 OF 46 DGENE (C) 2003 THOMSON DERWENT

AN AAY44952 peptide DGENE

TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -

IN Rothman J E; Mayhew M; Hoe M H

PA (SLOK) SLOAN KETTERING INST CANCER RES.

PI WO 2000006729 A1 20000210 87p

AI WO 1999-US17147 19990728

PRAI US 1998-124671 19980729

DT Patent

LA English

OS 2000-195296 [17]

DESC Human phospholamban oligomerisation domain.

L4 ANSWER 31 OF 46 DGENE (C) 2003 THOMSON DERWENT

AN AAY44951 peptide DGENE

TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -

IN Rothman J E; Mayhew M; Hoe M H

PA (SLOK) SLOAN KETTERING INST CANCER RES.

PI WO 2000006729 A1 20000210 87p

AI WO 1999-US17147 19990728

PRAI US 1998-124671 19980729

DT Patent

LA English

OS 2000-195296 [17]

DESC Xenopus thrombospondin 4 trimerisation domain.

L4 ANSWER 32 OF 46 DGENE (C) 2003 THOMSON DERWENT

AN AAY44950 peptide DGENE

TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -

IN Rothman J E; Mayhew M; Hoe M H

PA (SLOK) SLOAN KETTERING INST CANCER RES.

PI WO 2000006729 A1 20000210 87p

AI WO 1999-US17147 19990728

PRAI US 1998-124671 19980729

DT Patent

LA English

OS 2000-195296 [17]

DESC Human thrombospondin 4 trimerisation domain.

L4 ANSWER 33 OF 46 DGENE (C) 2003 THOMSON DERWENT

AN AAY44949 peptide DGENE

TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -

IN Rothman J E; Mayhew M; Hoe M H

PA (SLOK) SLOAN KETTERING INST CANCER RES.

PI WO 2000006729 A1 20000210 87p

AI WO 1999-US17147 19990728

PRAI US 1998-124671 19980729

DT Patent

LA English

OS 2000-195296 [17]

DESC Human thrombospondin 3 trimerisation domain.

L4 ANSWER 34 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44948 peptide DGENE  
 TI **Inhibitors** of the **KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Mouse thrombospondin 3 trimerisation domain.

L4 ANSWER 35 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44947 peptide DGENE  
 TI **Inhibitors** of the **KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Human cartilage oligomeric matrix protein pentamerisation domain.

L4 ANSWER 36 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAY44946 peptide DGENE  
 TI **Inhibitors** of the **KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 DESC Rat cartilage oligomeric matrix pentamerisation domain.

L4 ANSWER 37 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAZ50501 DNA DGENE  
 TI **Inhibitors** of the **KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR P-PSDB: AAY44967  
 DESC **KDEL receptor inhibitor-10 DNA.**

L4 ANSWER 38 OF 46 DGENE (C) 2003 THOMSON DERWENT

AN AAZ50500 DNA DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR P-PSDB: AAY44966  
DESC **KDEL receptor inhibitor-9 DNA.**

L4 ANSWER 39 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAZ50499 DNA DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR P-PSDB: AAY44965  
DESC **KDEL receptor inhibitor-8 DNA.**

L4 ANSWER 40 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAZ50498 DNA DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR P-PSDB: AAY44964  
DESC **KDEL receptor inhibitor-7 DNA.**

L4 ANSWER 41 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAZ50497 DNA DGENE  
TI **Inhibitors of the KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR P-PSDB: AAY44963  
DESC **KDEL receptor inhibitor-6 DNA.**

L4 ANSWER 42 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAZ50496 DNA DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR P-PSDB: AAY44962  
 DESC **KDEL receptor inhibitor-5 DNA.**

L4 ANSWER 43 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAZ50495 DNA DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR P-PSDB: AAY44961  
 DESC **KDEL receptor inhibitor-4 DNA.**

L4 ANSWER 44 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAZ50494 DNA DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]  
 CR P-PSDB: AAY44960  
 DESC **KDEL receptor inhibitor-3 DNA.**

L4 ANSWER 45 OF 46 DGENE (C) 2003 THOMSON DERWENT  
 AN AAZ50493 DNA DGENE  
 TI **Inhibitors of the KDEL receptor** which  
 comprises an oligomerization domain useful for promoting secretion of  
 proteins which are normally retained within the cell -  
 IN Rothman J E; Mayhew M; Hoe M H  
 PA (SLOK) SLOAN KETTERING INST CANCER RES.  
 PI WO 2000006729 A1 20000210 87p  
 AI WO 1999-US17147 19990728  
 PRAI US 1998-124671 19980729  
 DT Patent  
 LA English  
 OS 2000-195296 [17]

CR P-PSDB: AAY44959  
DESC **KDEL receptor inhibitor-2 DNA.**

L4 ANSWER 46 OF 46 DGENE (C) 2003 THOMSON DERWENT  
AN AAZ50492 DNA DGENE  
TI **Inhibitors** of the **KDEL receptor** which  
comprises an oligomerization domain useful for promoting secretion of  
proteins which are normally retained within the cell -  
IN Rothman J E; Mayhew M; Hoe M H  
PA (SLOK) SLOAN KETTERING INST CANCER RES.  
PI WO 2000006729 A1 20000210 87p  
AI WO 1999-US17147 19990728  
PRAI US 1998-124671 19980729  
DT Patent  
LA English  
OS 2000-195296 [17]  
CR P-PSDB: AAY44958  
DESC **KDEL receptor inhibitor-1 DNA.**

=>

<-----User Break----->

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Welcome to STN International! Enter x:x

LOGINID:sssptal653sxs

PASSWORD:

TERMINAL (ENTER 1, 2, 3, OR ?):2

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NEWS	4	Aug 08	PHARMAMarketLetter(PHARMAML) - new on STN
NEWS	5	Aug 19	Aquatic Toxicity Information Retrieval (AQUIRE) now available on STN
NEWS	6	Aug 26	Sequence searching in REGISTRY enhanced
NEWS	7	Sep 03	JAPIO has been reloaded and enhanced
NEWS	8	Sep 16	Experimental properties added to the REGISTRY file
NEWS	9	Sep 16	CA Section Thesaurus available in CAPLUS and CA
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NEWS	11	Oct 24	BEILSTEIN adds new search fields
NEWS	12	Oct 24	Nutraceuticals International (NUTRACEUT) now available on STN
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NEWS	14	Nov 25	More calculated properties added to REGISTRY
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NEWS	16	Dec 17	PCTFULL now covers WP/PCT Applications from 1978 to date
NEWS	17	Dec 17	TOXCENTER enhanced with additional content
NEWS	18	Dec 17	Adis Clinical Trials Insight now available on STN
NEWS	19	Jan 29	Simultaneous left and right truncation added to COMPENDEX, ENERGY, INSPEC
NEWS	20	Feb 13	CANCERLIT is no longer being updated
NEWS	21	Feb 24	METADEx enhancements
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NEWS	23	Feb 24	TEMA now available on STN
NEWS	24	Feb 26	NTIS now allows simultaneous left and right truncation
NEWS	25	Feb 26	PCTFULL now contains images
NEWS	26	Mar 04	SDI PACKAGE for monthly delivery of multifile SDI results
NEWS	27	Mar 20	EVENTLINE will be removed from STN
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NEWS	29	Mar 24	Additional information for trade-named substances without structures available in REGISTRY
NEWS	30	Apr 11	Display formats in DGENE enhanced
NEWS	31	Apr 14	MEDLINE Reload
NEWS	32	Apr 17	Polymer searching in REGISTRY enhanced
NEWS	33	Apr 21	Indexing from 1947 to 1956 being added to records in CA/CAPLUS
NEWS	34	Apr 21	New current-awareness alert (SDI) frequency in WPIDS/WPINDEX/WPIX
NEWS	35	Apr 28	RDISCLOSURE now available on STN
NEWS	36	May 05	Pharmacokinetic information and systematic chemical names added to PHAR
NEWS	37	May 15	MEDLINE file segment of TOXCENTER reloaded
NEWS	38	May 15	Supporter information for ENCOMPAT and ENCOMPLIT updated
NEWS EXPRESS			April 4 CURRENT WINDOWS VERSION IS V6.01a, CURRENT MACINTOSH VERSION IS V6.0b(ENG) AND V6.0Jb(JP), AND CURRENT DISCOVER FILE IS DATED 01 APRIL 2003
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52 FILES SEARCHED...  
62 FILES SEARCHED...  
L1 479 (THROMBOSPONDIN 3) OR THROMBOSPONDIN3 OR TSP3

=> s (pentamerization domain) (3A) (fusion protein)  
13 FILES SEARCHED...  
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L2 7 (PENTAMERIZATION DOMAIN) (3A) (FUSION PROTEIN)

=> s (oligomerization domain) (3A) (fusion protein)  
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L3 26 (OLIGOMERIZATION DOMAIN) (3A) (FUSION PROTEIN)

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=> s l1 and l4  
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L6 72 L1 AND (PENTAMERIZATION OR PENTAMER OR OLIGOMER OR OLIGOMERIZATION)

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L7 21 L1 (5A) (PENTAMERIZATION OR PENTAMER OR OLIGOMER OR OLIGOMERIZATION)

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=> d l8 1-8 bib ab

L8 ANSWER 1 OF 8 USPATFULL

AN 2000:168135 USPATFULL

TI KDEL receptor inhibitors

IN Rothman, James E., New York, NY, United States

Mayhew, Mark, Tarrytown, NY, United States

Hoe, Mee H., Irvington, NY, United States

PA Sloan-Kettering Institute For Cancer, New York, NY, United States (U.S. corporation)

PI US 6160088 20001212

AI US 1998-124671 19980729 (9)

DT Utility

FS Granted

EXNAM Primary Examiner: Achutamurthy, Ponnathapu; Assistant Examiner: Tung, Peter P.

CLMN Number of Claims: 13

ECL Exemplary Claim: 1

DRWN 10 Drawing Figure(s); 30 Drawing Page(s)

LN.CNT 1537

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB The present invention relates to inhibitors of the KDEL receptor and therapeutic uses therefor. Certain proteins are functionally retained in the cellular endoplasmic reticulum via an interaction between a KDEL sequence and its receptor. According to the invention, blocking this interaction with a KDEL receptor inhibitor promotes the secretion of such proteins. In specific embodiments of the invention, KDEL receptor inhibitors may be used to promote the secretion of heat shock proteins, thereby rendering the secreted heat shock proteins more accessible to the immune system and improving the immune response to heat shock protein-associated antigens.

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AN 2000:190230 BIOSIS

DN PREV200000190230

TI Expression and characterization of novel thrombospondin 1 type I repeat fusion proteins.  
 AU Qabar, Aziz N. (1); Bullock, Jeff; Matej, Louis; Polverini, Peter  
 CS (1) US ARMY CHPPM, 5158 Blackhawk Rd., Bldg. E-2100, Aberdeen Proving Ground, MD, 21010-5403 USA  
 SO Biochemical Journal, (Feb. 15, 2000) Vol. 346, No. 1, pp. 147-153. ISSN: 0264-6021.  
 DT Article  
 LA English  
 SL English  
 AB Thrombospondin (TSP)1 is a trimeric extracellular matrix protein that is held together by two cysteine residues. It is one of five TSP proteins that have been described to date with almost a universal heparin binding capability (TSP5 being the exception). The existence of two conformationally distinct structures in the TSP family (trimers and pentamers) prompted us to investigate the contribution of TSP1 trimeric structure to its inhibitory role in angiogenesis. We expressed full-length recombinant human TSP1, its type I repeats, and murine TSP3 in a human embryonic kidney cell line and evaluated their effect on human dermal microvascular endothelial cell (HMVEC) proliferation and sprouting into tube-like structures in vitro. Additionally, two chimaeric molecules were constructed so that the type I repeats of TSP1 were expressed as either dimers (TSP1-Ig chimaera) or **pentamers** (TSP1-TSP3 chimaera). Dimeric and pentameric type I constructs are novel structures. We found that, similarly to full-length TSP1, intact trimeric type I repeats were inhibitory to HMVEC angiogenesis in vitro. However, dimeric and pentameric type I repeats of TSP1 only partially inhibited HMVEC proliferation and sprouting in vitro. TSP3, which is lacking type I repeats, had no inhibitory activity, confirming that type I repeats elicit the anti-angiogenic activity of TSP1.

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 AN 97:199820 SCISEARCH  
 GA The Genuine Article (R) Number: WL530  
 TI A chimeric murine **TSP3**/human TSP1 is a **pentamer** with abolished antiangiogenic activity.  
 AU Qabar A N (Reprint); Bullock J; Matej L  
 CS MADIGAN ARMY MED CTR, TACOMA, WA 98431  
 CYA USA  
 SO FASEB JOURNAL, (28 FEB 1997) Vol. 11, No. 3, pp. 365-365. Publisher: FEDERATION AMER SOC EXP BIOL, 9650 ROCKVILLE PIKE, BETHESDA, MD 20814-3998. ISSN: 0892-6638.  
 DT Conference; Journal  
 FS LIFE  
 LA English  
 REC Reference Count: 0

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 DN PREV199799483256  
 TI A chimeric murine **TSP3**/human TSP1 is a **pentamer** with abolished antiangiogenic activity.  
 AU Qabar, Aziz N.; Bullock, Jeff; Matej, Louis  
 CS Madigan Army Med. Cent., Tacoma, WA 98431 USA  
 SO FASEB Journal, (1997) Vol. 11, No. 3, pp. A63. Meeting Info.: Annual Meeting of the Professional Research Scientists on Experimental Biology 97 New Orleans, Louisiana, USA April 6-9, 1997. ISSN: 0892-6638.  
 DT Conference; Abstract  
 LA English

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